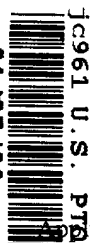


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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DAI et al. Prior Examiner: Mayekar, K.  
Parent Serial No.: 09/133,948 Prior Group Art Unit: 1741  
Continuation Filed: January 7, 2002 Docket No.: STFD.021C1 (S98-049A)  
Title: CARBON NANOTUBE STRUCTURE HAVING A CATALYST ISLAND



## CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL 735699905 US

Date of Deposit: January 7, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: E. J. Curtin

Name: Eric J. Curtin

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

United States Patent and Trademark Office  
Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of prior pending patent application Serial No. 09/133,948, filed on August 14, 1998, and entitled "Carbon Nanotube Structures made Using Catalyst Islands," for which the inventorship is identified on the attached Declaration. We are transmitting herewith the following:

1. ☒ A continuation application, with a new specification claiming priority to the prior application. The new application is as follows: 223 pages with 1 page of abstract, and 36 claims, 6 sheets of formal drawings having Figures 1-13D; and 1 page of declaration from the prior application.
2. ☒ One return postcard.
3. **Payment of fees:**  
☒ Please charge Deposit Account Number 50-0996 (STFD.021C1) the amount of \$ 613 for payment of the **SMALL-ENTITY** filing fee.
4. ☒ The Commissioner is hereby authorized to charge necessary, additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or to credit any overpayment to Deposit Account No. 50-0996 (STFD.021C1).

5. ☒ The new specification has been amended to include before the first line the sentence:  
"This is a continuation of U.S. Patent Application Serial No. 09/133,948 (STFD.021PA/S98-049) filed on August 14, 1998 and entitled "Carbon Nanotube Structures made Using Catalyst Islands," to which priority is claimed under 35 U.S.C. §120 for common subject matter and which is fully incorporated herein by reference."
6. ☒ Priority of application Serial No. 09/133,948, filed on August 14, 1998 (STFD.021PA/S98-049) is hereby claimed under 35 U.S.C. §120.
7. ☒ The prior application is assigned of record to Leland Stanford Junior University, the Board of Trustees.
8. ☒ The Power of Attorney in the prior application is to (10/18/2001):

Crawford PLLC  
1270 Northland Drive  
Suite 390  
St. Paul, MN 55120

9. ☒ Address all future communications to the Attention of: Robert J. Crawford at the above/below address.

CRAWFORD PLLC  
1270 Northland Drive, Suite 390  
St. Paul, MN 55120  
(651) 686-6633

By: Eric J. Curtin  
Name: Eric J. Curtin  
Reg. No.: 47,511